

# Self-Similar Tilings of Nilpotent Lie Groups

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# Research Experience for Undergraduates

Mentors at the University of Akron:

- Jeffrey Adler
- Judith Palagallo

Others in my REU group:

- Rebecca Black
- Lisa Lackney
- Phil Hudelson



# Self-Similar Tiling Example

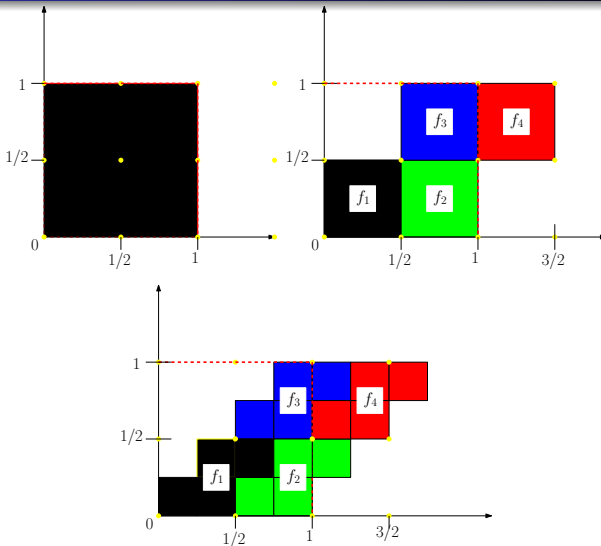
If

$$M^{-1} = \begin{bmatrix} 1/2 & 0 \\ 0 & 1/2 \end{bmatrix}$$

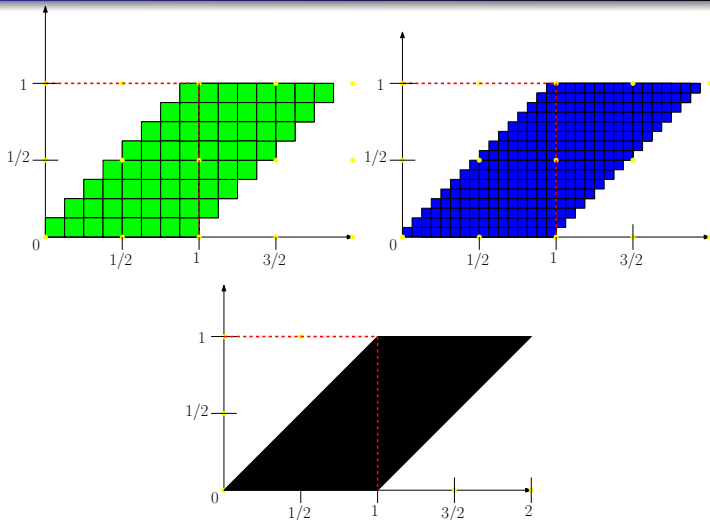
then define the following contraction mappings in  $\mathbb{R}^2$

- $f_1(x_1, x_2) := M^{-1} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$
- $f_2(x_1, x_2) := M^{-1} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}$
- $f_3(x_1, x_2) := M^{-1} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}$
- $f_4(x_1, x_2) := M^{-1} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 \\ 1/2 \end{bmatrix}$

# Self-Similar Tiling Example



# Self-Similar Tiling Example



# Iterated Function System

## Definition

The set of transformations used in the iteration process is called an **iterated function system**. The limit of this process is called an **attractor**.

In 1981, Hutchinson [4] developed the mathematical theory behind the convergence of this process.

# Metric Spaces

## Definition

A **metric space** is a 2-tuple  $(X, d)$  where  $X$  is a set and  $d$  is a metric on  $X$ ; that is, a function

$$d: X \times X \rightarrow \mathbb{R},$$

such that

- ①  $d(x, y) \geq 0$  (non-negativity),
- ②  $d(x, y) = 0$  if and only if  $x = y$  (identity),
- ③  $d(x, y) = d(y, x)$  (symmetry),
- ④  $d(x, z) \leq d(x, y) + d(y, z)$  (triangle inequality).

# Metric Spaces

## Example

The Euclidean space  $\mathbb{R}^2$  with the Euclidean metric  $d : \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}$  where

$$d(x, y) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}.$$



# Metric Spaces

## Definition

A **complete metric space** is a metric space in which every Cauchy sequence is convergent.

# Tilings

## Definition

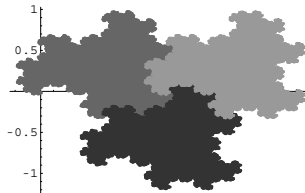
A **tiling** of a complete metric space  $X$  is a locally finite collection  $\mathcal{T}$  of non-empty subsets of  $X$  such that:

- 1 For any  $A \in \mathcal{T}$ ,  $\text{cl}(\text{int } A) = A$ .
- 2 For any distinct  $A, B \in \mathcal{T}$ ,  $\text{int } A \cap \text{int } B = \emptyset$ .
- 3  $\bigcup_{A \in \mathcal{T}} A = X$ .

# Self-Similar Tilings

## Definition

A **self-similar tiling** is a tiling composed of smaller tiles (rep tiles) of the same size, each being the same shape as the whole. We refer to a  **$m$ -rep tile** as an object that can be dissected into  $m$  smaller copies of itself.



# Group

## Definition

A **group**  $G$  is a set of elements together with a binary operation  $(*)$  called the **group operation** that together satisfy the four fundamental properties:

- Closure: If  $a, b \in G$ , then the product  $a * b \in G$ .
- Associativity: For all  $a, b, c \in G$ ,  $(a * b) * c = a * (b * c)$ .
- Identity: There is an identity element  $0 \in G$  such that  $0 * a = a * 0 = a$  for every element  $a \in G$ .
- Inverse: There must be an inverse or reciprocal of each element. Therefore,  $G$  must contain an element  $b = a^{-1}$  such that  $a * b = b * a = 0$  for each element  $a \in G$ .

# Abelian Group

## Definition

An **abelian** group is a group  $G$  for which the elements commute; that is, for all elements  $a, b \in G$ ,  $ab = ba$ .

# Commutator

## Definition

For a group  $G$ , the **commutator** of two subgroups  $A, B \subseteq G$  is the subgroup  $[A, B]$  where

$$[A, B] = \{aba^{-1}b^{-1} : a \in A, b \in B\}.$$

The commutator  $[G, G]$  measures the extent to which the group operation on  $G$  fails to be commutative.

# Nilpotent Group

## Definition

Let  $G$  be a group, and let  $A_0, A_1, A_2, \dots$  be a sequence of groups with  $A_0 = G$  and  $A_{i+1} = [G, A_i]$ .  $G$  is **nilpotent** if for some  $n$ ,  $A_n$  is trivial.

## Example

- 1  $n \times n$  upper triangular matrices with 1s on the diagonal.
- 2 Any subgroup of Item 1.
- 3 Any abelian group.

# Lie Group

## Definition

A **Lie group** is a smooth manifold obeying the group properties and that satisfies the additional condition that the group operations are differentiable.



# Why Nilpotent Lie Groups?

- Every point in a smooth manifold has a neighborhood which resembles Euclidean space.
- Nilpotent Lie groups have a natural automorphic dilation structure.
- Nilpotent Lie groups often have discrete cocompact subgroups (lattices).

# Morphisms

## Definition

An **isomorphism** is a bijective map  $f$  such that both  $f$  and  $f^{-1}$  are structure-preserving mappings.

## Example

Isomorphisms of two-dimensional Euclidean space  $\mathbb{R}^2$  are special cases of linear transformations such as:

- Rotation :  $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$  Reflection :  $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ .
- Expansion by  $k$ :  $\begin{bmatrix} k & 0 \\ 0 & k \end{bmatrix}$  Contraction by  $k$ :  $\begin{bmatrix} 1/k & 0 \\ 0 & 1/k \end{bmatrix}$ .

# Morphisms

## Definition

An **automorphism**  $\Phi$  is an isomorphism from a mathematical object to itself. For a group  $G$  with elements  $\alpha, \beta \in G$ :

$$\Phi(\alpha * \beta) = \Phi(\alpha) * \Phi(\beta).$$

# Expansions

Let  $(G, d)$  be a locally compact Hausdorff space.

## Definition

A function  $\Phi: G \rightarrow G$  is an **expansive map** if there exists an  $r \in \mathbb{R}$ ,  $r > 1$ , such that for  $\alpha, \beta \in G$ ,

$$d(\Phi(\alpha), \Phi(\beta)) \geq r \cdot d(\alpha, \beta).$$

# Lattices

## Definition

A **lattice**  $\Gamma \subset G$  is a cocompact discrete subgroup of  $G$ .

## Definition

For  $\Gamma \subset G$ ,  $\Gamma$  is **cocompact** in  $G$  if for a compact set  $K \subset G$

$$\bigcup_{\gamma \in \Gamma} (\gamma * K) = G.$$

## Example

$\mathbb{Z}^n$  is cocompact in  $\mathbb{R}^n$ .

# Notation

Until otherwise noted<sup>3</sup>:

- Let  $G$  be a nilpotent Lie group with group operation  $*$ . Then  $G$  is a locally compact Hausdorff topological group, and has a right-invariant Riemannian metric [6].
- Let  $\Gamma \subset G$  be a lattice.
- Let  $\Phi$  be a continuous expansive automorphism of  $G$  such that  $\Phi(\Gamma) \subseteq \Gamma$ .

# Residue System

## Definition

A family  $\{y_1, \dots, y_m\} \subset \Gamma$  is a **residue system** or a **complete set of coset representatives** of  $\Phi$ , if  $y_1 = 0$  and

$$\Gamma = \bigcup^* \{y_i * \Phi(\Gamma) : i = 1, \dots, m\}.$$

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${}^3G$  is a nilpotent Lie group,  $\Gamma \subset G$  is a lattice,  $\Phi$  is a continuous expansive automorphism of  $G$

# Self-Similar (Revisited)

## Definition ([4])

Define  $f_i$  as  $f_i(\alpha) = \Phi^{-1}(\alpha) * y_i, i = 1, \dots, m$ . A compact set  $\mathbf{A} \neq \emptyset$  is **self-similar** with respect to  $f_1, \dots, f_m$  if

$$\mathbf{A} = f_1(\mathbf{A}) \cup \dots \cup f_m(\mathbf{A}).$$

---

${}^3G$  is a nilpotent Lie group,  $\Gamma \subset G$  is a lattice,  $\Phi$  is a continuous expansive automorphism of  $G$



# The Main Result

Let  $m$  equal the cardinality of  $\Gamma/\Phi(\Gamma)$ . Fix a right Haar measure  $\mu$  on  $G$ .

## Theorem

*If  $\Phi$  is a continuous expansive automorphism of  $G$  and  $\{y_1, \dots, y_m\}$  is a residue system of  $\Phi$ , then there is a unique  $m$ -rep tile  $\mathbf{A}_1$  such that*

$$\Phi(\mathbf{A}_1) = \mathbf{A}_1 \cup \dots \cup \mathbf{A}_m \text{ with } \mathbf{A}_i = \mathbf{A}_1 * y_i.$$

---

<sup>3</sup> $G$  is a nilpotent Lie group,  $\Gamma \subset G$  is a lattice,  $\Phi$  is a continuous expansive automorphism of  $G$

# Definition

## Definition

Let the **Heisenberg group** be defined by

$$H^{2n+1}(\mathbb{R}) = \{(\mathbf{x}, z) : \mathbf{x} \in \mathbb{R}^{2n}, z \in \mathbb{R}\}$$

with the group law

$$(\mathbf{x}, z) * (\mathbf{x}', z') = (\mathbf{x} + \mathbf{x}', z + z' + B(\mathbf{x}, \mathbf{x}'))$$

where  $B$  is a nondegenerate skew-symmetric bilinear form on  $\mathbb{R}^{2n}$ . For notational purposes let  $H = H^{2n+1}(\mathbb{R})$ .

# Norm

## Definition

We define the **norm** by

$$|(\mathbf{x}, z)|_H = \left( \|\mathbf{x}\|^4 + |z|^2 \right)^{1/4},$$

where  $\|\cdot\|$  is the standard Euclidean norm on  $\mathbb{R}^{2n}$ .

# Automorphisms

## Theorem

*Any automorphism  $\Phi: H \rightarrow H$  is of the form  $\Phi((\mathbf{x}, z)) = (M\mathbf{x}, \omega(\mathbf{x}) + az)$ , where  $M \in \mathrm{GSp}(2n)$  such that  $B(M\mathbf{v}, M\mathbf{w}) = aB(\mathbf{v}, \mathbf{w})$  for all  $\mathbf{v}, \mathbf{w} \in \mathbb{R}^{2n}$ , and where  $\omega: \mathbb{R}^{2n} \rightarrow \mathbb{R}$  is a linear transformation.*

## Fact

For  $M \in \mathrm{GSp}(2n)$  we have the relationship  $a^n = \det M$  where  $M$  uniquely determines  $a$ .

# Expansion Maps

## Theorem

*An automorphism  $\Phi: H \rightarrow H$  is an expansion map if and only if  $\Phi((\mathbf{x}, z)) = (M\mathbf{x}, az)$  where, for some  $0 < c < 1$ ,  $\|M^{-1}\mathbf{x}\| \leq c \|\mathbf{x}\|$  and  $|a^{-1}| \leq c^2$ .*

# Group Law for Examples

Consider two examples of fractal tilings on the Heisenberg group, with the group law given by

$$(\mathbf{x}, z) * (\mathbf{x}', z') = \left( \mathbf{x} + \mathbf{x}', z + z' + \frac{1}{2} (x_1 x'_2 - x'_1 x_2) \right).$$

# Twin Dragon

## Example

The first example we will consider is the tiling generated by the automorphism

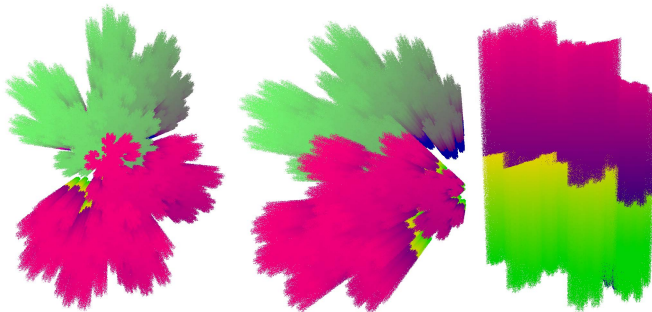
$$\Phi(\mathbf{x}, z) = (M\mathbf{x}, 2z), \text{ where } M = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}.$$

$M$  is the same matrix that generates a twindragon tiling in  $\mathbb{R}^2$ . One possible set of residue vectors for this twindragon in the plane is  $\{(0, 0), (0, 1)\}$ . Therefore, one possible selection of the four residues is

$$\left\{ (0, 0, 0), (0, 1, 0), \left(0, 0, \frac{1}{2}\right), \left(0, 1, \frac{1}{2}\right) \right\}.$$

# Twin Dragon

These residue vectors are found by direct application of the previous proposition and allow us to generate the figure below.





# Terdragon

## Example

The second example of a tiling in  $H$  is the one generated by the automorphism

$$\Phi(\mathbf{x}, z) = (M\mathbf{x}, 3z), \text{ where } M = \begin{bmatrix} 2 & 1 \\ -1 & 1 \end{bmatrix}.$$

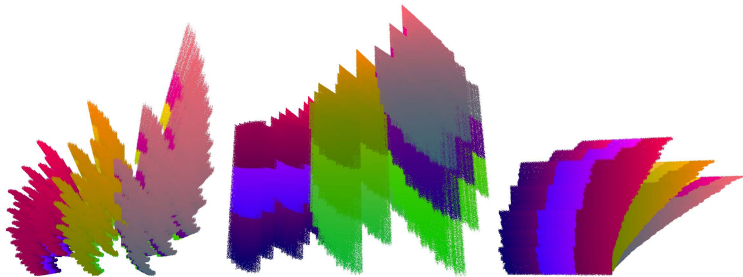
$M$  is the same matrix that generates a terdragon in  $\mathbb{R}^2$ . One set of residues for this tiling in the plane is  $\{(0, 0), (1, 0), (2, 0)\}$ .

Then, one possible set of residues for  $\Phi$  is







$$\{(0, 0, 0), (1, 0, 0), (2, 0, 0), (0, 0, \frac{1}{2}), (1, 0, \frac{1}{2}), (2, 0, \frac{1}{2}), (0, 0, 1), (1, 0, 1), (2, 0, 1)\}.$$

# Terdragon

By following the selection method of residues from the proposition, we generate the tiling shown in the figure below.



# Bibliography

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